



Office of the Inspector General

SOCIAL SECURITY ADMINISTRATION

MEMORANDUM

Date: June 30, 2023

Refer To: 002309

To: Gina Clemons
Deputy Commissioner
Office of Analytics, Review and Oversight

From: Michelle L. Anderson *Michelle L. Anderson*
Assistant Inspector General for Audit

Subject: Fiscal Year 2023 4th Quarter Audit Work Plan

Attached is a list of the reviews we plan to begin between July and September 2023.

We prioritize our work to focus our resources on those areas that are most vulnerable to fraud, waste, and abuse. Our Plan is dynamic. As such, please note that these are preliminary titles and objectives, and we may change them later. Because circumstances may change during the course of the quarter, we may decide to postpone some projects or initiate new reviews that are not reflected here. This flexibility enables us to meet emerging and critical issues evolving during the upcoming period.

As always, we encourage and welcome feedback from Agency executives and staff, including any suggestions they may have for areas we should consider studying.

If you wish to discuss this Work Plan, please contact me or have your staff contact Kristen Schnatterly, Executive Officer of the Office of Audit.

Attachment

FISCAL YEAR 2023 4TH QUARTER AUDIT WORK PLAN

Title, Identification Number, and Planned Objective	Related Management Challenge(s)						
	Human Capital	Service Delivery	Secure Information	Modernize IT	Disability Programs	Improper Payments	N/A Mandatory
Projects we plan to start in July 2023							
1. Full Benefit Withholding and Administrative Sanctions in Cases of Fraud or Similar Fault (042303). To determine whether SSA is consistently (1) using administrative sanctions or full-benefit withholding in cases of fraud and (2) applying the policies.						✓	
2. Controls over Contracts and Contractors (022324). To determine whether SSA has controls in place to ensure its contracts and contractors provide goods and services within contract timelines and costs.		✓	✓				
Projects we plan to start in August 2023							
3. Adjustment of Monthly Benefits Under the Family Maximum Provisions for Dually Entitled Children (052301). To determine whether SSA correctly adjusts child and spousal benefits in accordance with the family maximum provisions.						✓	
4. Denied Retirement Claims (052303). To determine whether SSA properly disallowed or denied OASDI retirement applications.						✓	
5. Disability Waiting Period Exclusions (072304). To determine whether SSA is accurately applying the law and policy for beneficiaries who are entitled to benefits based on a disability without a waiting period.					✓		

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	Human Capital	Service Delivery	Secure Information	Modernize IT	Disability Programs	Improper Payments	N/A Mandatory
6. <i>Using Medicare Claim Data to Identify Deceased Beneficiaries Aged 80 to 89 (042301).</i> To determine whether SSA could use enhanced Medicare claim data to better identify deceased beneficiaries age 80 to 89.						✓	
7. <i>Public-Facing Staffing (022327).</i> To review the processes SSA has in place to determine staffing levels in its public-facing offices.	✓	✓					
Projects we plan to start in September 2023							
8. <i>*Business Services Online Control Testing (022329).</i> To determine whether SSA has adequate controls in place that are functioning as intended to properly prevent unauthorized access and use of BSO services.		✓	✓	✓			
9. <i>Fiscal Year 2023 Periodic Assessment of the Social Security Administration's Charge Card Programs (032314).</i> To determine the risk of illegal, improper, and erroneous purchases made through the Social Security Administration's charge card programs.							✓
10. <i>Manager to Manager Application for Critical Case Processing (072305).</i> To determine whether employees submitted and processed requests through Manager to Manager (M2M) in accordance with SSA policy.		✓					

Title, Identification Number, and Planned Objective	Related Management Challenge(s)						
	Human Capital	Service Delivery	Secure Information	Modernize IT	Disability Programs	Improper Payments	N/A Mandatory
11. <i>The Social Security Administration's Enhanced Personnel Security Program (152303)</i> . To assess the (1) status of SSA's implementation of its Enhanced Personnel Security Program; (2) potential risks associated with overdue moderate and high risk background reinvestigations at the SSA; and (3) timeliness of periodic reinvestigations.							✓
12. <i>The Social Security Administration's Efforts to Address Barriers to Accessing Services (022322)</i> . To determine whether (1) SSA implemented planned actions to reduce barriers to accessing its services and (2) its actions achieved the intended measurable results.		✓					
13. <i>*Testing of Legacy Systems Modernization and Movement to Cloud Services (142312)</i> . To determine the extent to which (1) SSA has improved its cybersecurity posture by defining and implementing plans to modernize or replace and retire its legacy IT systems and (2) SSA's current efforts and plans to move to cloud services are consistent with OMB guidance.				✓			
14. <i>*Development and Implementation of the Debt Management Product (142313)</i> . To identify weaknesses in SSA's development, implementation, and cost and schedule estimates for Debt Management Product.				✓			
15. <i>Treasury Reclamation Process (062304)</i> . To determine whether SSA is maximizing use of the Treasury Reclamation Process.						✓	

* An independent contractor will perform these audits.