



Office of the Inspector General

SOCIAL SECURITY ADMINISTRATION

MEMORANDUM

Date: March 31, 2023

Refer To: 002305

To: Gina Clemons
Deputy Commissioner
Office of Analytics, Review and Oversight

From: Michelle L. Anderson *Michelle L. Anderson*
Assistant Inspector General for Audit

Subject: Fiscal Year 2023 3rd Quarter Audit Work Plan

Attached is a list of the reviews we plan to begin between April and June 2023.

We prioritize our work to focus our resources on those areas that are most vulnerable to fraud, waste, and abuse. Our Plan is dynamic. As such, please note that these are preliminary titles and objectives and we may change them later. Because circumstances may change during the course of the quarter, we may decide to postpone some projects or initiate new reviews that are not reflected here. This flexibility enables us to meet emerging and critical issues evolving during the upcoming period.

As always, we encourage and welcome feedback from Agency executives and staff, including any suggestions they may have for areas we should consider studying.

If you wish to discuss this Work Plan, please contact me or have your staff contact Kristen Schnatterly, Executive Officer of the Office of Audit.

Attachment

FISCAL YEAR 2023 3RD QUARTER AUDIT WORK PLAN

Title, Identification Number, and Planned Objective	Related Management Challenge(s)						
	Human Capital	Service Delivery	Secure Information	Modernize IT	Disability Programs	Improper Payments	COVID-19 Response
Projects we plan to start in April 2023							
1. Impact of Unreported Marriages on Social Security Administration Benefit Payments (012317). To determine whether SSA's actions are preventing improper payments due to marriage when a beneficiary changes his/her name.						✓	
2. Re-entitlement of Terminated Old-Age, Survivors and Disability Insurance Auxiliary Beneficiaries After the Primary Beneficiaries' Death (032302). To determine whether SSA appropriately identified and paid widow(er)s and surviving divorced spouses who were eligible for the re-entitlement of OASDI benefits after the number holder's death.						✓	
Projects we plan to start in May 2023							
3. Adjustment of Monthly Benefits Under the Family Maximum Provisions for Dually Entitled Children (052301). To determine whether SSA is correctly adjusting child and spousal benefits in accordance with the family maximum provisions.						✓	
4. Treasury Reclamation Process (062304). To determine whether SSA is maximizing use of the Treasury Reclamation Process.						✓	
5. Oversight of Medical Examinations for Disability Claims (012313). To determine whether SSA and DDSs are complying with consultative examination oversight policies and procedures.		✓			✓		

Title, Identification Number, and Planned Objective	Related Management Challenge(s)						
	Human Capital	Service Delivery	Secure Information	Modernize IT	Disability Programs	Improper Payments	COVID-19 Response
<p>6. SSA's Use of Full Benefit Withholding and Administrative Sanctions in Cases of Fraud or Similar Fault (042303). To determine whether SSA used administrative sanctions or full-benefit withholding in cases of fraud in accordance with policy.</p>						✓	
Projects we plan to start in June 2023							
<p>7. Protective Filing for Disability Claimants Previously Denied for Failure to Cooperate (062317). To determine whether SSA field offices timely issued required denial notices to disability applicants.</p>					✓	✓	
<p>8. Denied Retirement Claims (052303). To determine whether SSA properly disallowed or denied OASDI retirement applications.</p>						✓	
<p>9. The Effectiveness of SSA's Efforts to Address Barriers to Accessing Services (022322). To determine whether (1) SSA implemented planned actions to reduce barriers to accessing its services and (2) its actions achieved the intended measurable results.</p>		✓					